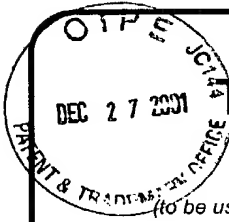


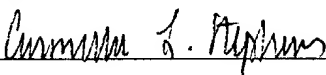
**BAKER BOTTS LLP**Please type a plus sign (+) inside this box → ☒**RECEIVED**

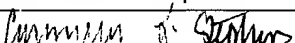
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DAE

|   |                               |                         |
|---|-------------------------------|-------------------------|
|  <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>     | 09/756,096              |
|   | <b>Filing Date</b>            | 01/08/2001              |
|   | <b>First Named Inventor</b>   | Mitchell                |
|   | <b>Group Art Unit</b>         | 1623                    |
|   | <b>Examiner Name</b>          | TBD                     |
| <b>Total Number of Pages in This Submission</b>   | <b>Attorney Docket Number</b> | 31304-B-A-B 072874.0135 |

| <b>ENCLOSURES (check all that apply)</b>  |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached  | <input checked="" type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Terminal Disclaimer  | Petition to revive unintentionally abandoned application;                                  |
| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> Request for Refund   | Sequence Listing in paper and computer readable form.                                      |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application   | <b>Remarks</b> <input type="checkbox"/>   |  |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b> |   |
|---|---|
| <b>Firm or Individual name</b>                    | BakerBotts LLP<br>30 Rockefeller Plaza<br>New York, NY 10112  |
| <b>Signature</b>                                  |  Att Name: Carmella L. Stephens<br>PTO Reg: 41,328 |
| <b>Date</b>                                       | December 21, 2001   |

| <b>CERTIFICATE OF MAILING</b>   |   |
|---|---|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>December 21, 2001</u> |   |
| <b>Typed or printed name</b>  | Carmella L. Stephens  |
| <b>Signature</b>  |  <b>Date</b> December 21, 2001 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$ 2,200

## Complete if Known

|                      |                         |
|----------------------|-------------------------|
| Application Number   | 09/756,096              |
| Filing Date          | 01/08/2001              |
| First Named Inventor | Mitchell                |
| Examiner Name        | TBD                     |
| Group Art Unit       | 1623                    |
| Attorney Docket No.  | 31304-B-A-B 072874.0135 |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **02-4377**
- Deposit Account Name **Baker Botts LLP**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 740                   | 370                   | Utility filing fee     |          |
| 330                   | 165                   | Design filing fee      |          |
| 510                   | 255                   | Plant filing fee       |          |
| 740                   | 370                   | Reissue filing fee     |          |
| 160                   | 80                    | Provisional filing fee |          |

SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES

Total Claims  - 20 \*\* =  x  =  Fee Paid

Independent Claims  - 3 \*\* =  x  =  Fee Paid

Multiple Dependent Claims  =  Fee Paid

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|--|
| 18                    | 9                     | Claims in excess of 20                                     |
| 84                    | 42                    | Independent claims in excess of 3                          |
| 280                   | 140                   | Multiple dependent claim, if not paid                      |
| 84                    | 42                    | ** Reissue independent claims over original patent         |
| 18                    | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath  |          |
| 50                    | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 130                   | 130                   | Non-English specification  |          |
| 2,520                 | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 920*                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1,840*                | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 110                   | 55                    | Extension for reply within first month                                     |          |
| 400                   | 200                   | Extension for reply within second month                                    |          |
| 920                   | 460                   | Extension for reply within third month                                     | 920      |
| 1,440                 | 720                   | Extension for reply within fourth month                                    |          |
| 1,960                 | 980                   | Extension for reply within fifth month                                     |          |
| 320                   | 160                   | Notice of Appeal   |          |
| 320                   | 160                   | Filing a brief in support of an appeal                                     |          |
| 280                   | 140                   | Request for oral hearing   |          |
| 1,510                 | 1,510                 | Petition to institute a public use proceeding                              |          |
| 110                   | 55                    | Petition to revive - unavoidable   |          |
| 1,280                 | 640                   | Petition to revive - unintentional   | 1,280    |
| 1,280                 | 640                   | Utility issue fee (or reissue)   |          |
| 460                   | 230                   | Design issue fee   |          |
| 620                   | 310                   | Plant issue fee  |          |
| 130                   | 130                   | Petitions to the Commissioner  |          |
| 50                    | 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 180                   | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 40                    | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 740                   | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 740                   | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 740                   | 370                   | Request for Continued Examination (RCE)                                    |          |
| 900                   | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 2,200

## SUBMITTED BY

|                   |                             |                                   |                   |           |                |
|-------------------|-----------------------------|-----------------------------------|-------------------|-----------|----------------|
| Name (Print/Type) | Carmella L. Stephens        | Registration No. (Attorney/Agent) | 41,328            | Telephone | (212) 408-2539 |
| Signature         | <i>Carmella L. Stephens</i> | Date                              | December 21, 2001 |           |                |

## Complete (if applicable)

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*Duplicate*FILE NO. A31304-B-A-B 072874.0135  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitchell et al

Serial No. : 09/756,096 Examiner : TBD

Filed : January 8, 2001 Group Art Unit: 1623

For : METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME  
MEDIATED RNA *TRANS*-SPLICING

SUBMISSION OF SEQUENCE LISTING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

December 21, 2001

Date of Deposit

Carmella L. Stephens

Attorney Name

41,328

PTO Registration No

*Carmella L. Stephens*

Signature

*December 21, 2001*

Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.821-1.825, Applicants submit herewith an initial  
Sequence Listing in computer and paper form.

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IN THE SPECIFICATION

Please substitute the attached specification.

REMARKS

Applicants submit herewith a Sequence Listing in computer and paper form, in accordance with 37 C.F.R. §1.821-1.825. The content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. §1.821(c) and (e) are the same and do not include new matter.

The substitute specification including sequence identifiers appear in the preceding "IN THE SPECIFICATION" section. Attached hereto is a marked-up version of the changes made to the specification paragraphs. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE" and is only included for the Examiner's convenience. Should any discrepancies be discovered, the version presented in the preceding "IN THE SPECIFICATION" section shall take precedence.

09755075-010901

FILE NO.A31304-B-A-B 072874.0135  
PATENT

CONCLUSION

Please charge any fees associated with this filing or credit any overpayment to  
Deposit Account No. 02-4377. Two copies of this paper are enclosed.

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Respectfully submitted,

---

Rochelle K. Seide  
PTO Registration No. 32,300

Carmella L. Stephens  
PTO Registration No. 41,328  
Attorney/Agent for Applicant

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